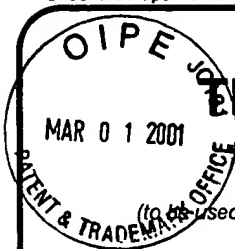


Please type a plus sign (+) inside this box → ☐

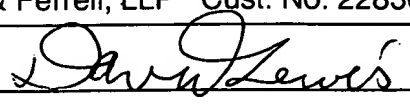
PTO/SB/21 (08-00)
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 TRANSMITTAL FORM	Applicati n Numb r	09/767,610
	Filing Date	January 22, 2001
	First Named Inventor	Mark Moriconi
	Group Art Unit	Unknown
	Examiner Name	Unknown
Total Number of Pages in This Submission	9	Attorney Docket Number PA1677US

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ENCLOSURES (check all that apply)		
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<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
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<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	(1) Statement of Copending Applications (2) 19 references, and (3) Return Postcard
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<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Information Disclosure Statement includes forms PTO/SB/08A and PTO/SB/08B as well as a separate 2-page Information Disclosure Statement by Applicant. Total page number does not include postcard and references.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Carr & Ferrell, LLP Cust. No. 22830
Signature	
Date	February 26, 2001

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APPLICANTS: Mark Moriconi and Shelly Qian
SERIAL NO.: 09/767,610
FILED: January 22, 2001
TITLE: System and Method for Maintaining Security in a
Distributed Computer Network
EXAMINER: Unknown
GROUP ART UNIT: Unknown
ATTY.DKT.NO.: PA1677US

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

INFORMATION DISCLOSURE STATEMENT
Under 37 C.F.R. §§ 1.56, and 1.97-1.98

SIR:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is form PTO/SB/08A&B listing several references, copies of which are enclosed. The Examiner is requested to make these references of official record in the application. The references cited may be material to examination of the application and are submitted in compliance with Applicants' duty of disclosure as defined by 37 C.F.R. § 1.56.

No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application.

Respectfully submitted,

Mark Moriconi and Shelly Qian

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Dated: February 26, 2001

By: David Lewis
David Lewis, Reg. No. 33,101
Carr & Ferrell LLP
2225 East Bayshore Road, Suite 200
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TEL: (650) 812-3400
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By: David Lewis
David Lewis

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Substitute for form 1449A/PTO				Compleat if Known <table border="1"> <tr> <td>Application Number</td> <td>09/767,610</td> </tr> <tr> <td>Filing Date</td> <td>January 22, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>Mark Moriconi</td> </tr> <tr> <td>Group Art Unit</td> <td>Unknown</td> </tr> <tr> <td>Examiner Name</td> <td>Unknown</td> </tr> <tr> <td>Attorney Docket Number</td> <td>PA1677US</td> </tr> </table>		Application Number	09/767,610	Filing Date	January 22, 2001	First Named Inventor	Mark Moriconi	Group Art Unit	Unknown	Examiner Name	Unknown	Attorney Docket Number	PA1677US
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Attorney Docket Number	PA1677US																
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				<div style="text-align: right;"> REC MAR 3 Technology C </div>													
Sheet	1	of	2														

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Application Number	09/767,610
Filing Date	January 22, 2001
First Named Inventor	Mark Moriconi
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	PA1677US

Sheet 1 of 2

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Sheet 2 of 2

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Application Number	09/767,610
Filing Date	January 22, 2001
First Named Inventor	Mark Moriconi
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	PA1677US

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		ENTITLENET, INC., 6 Webpages from Website, URL: www.entitlenet.com/ Publication Date Unknown, Download Date: 11-17-2000	
		JOHN FIELD, "From Authorization to Transactional Entitlement," Website of Transindigo, Inc., URL: www.transindigo.com/ Published: 09/2000	
		JOHN FIELD, "Dynamic Enterprise Entitlement," Website of Transindigo, Inc., URL: www.transindigo.com/ Published: 09/2000	
		JOHN FIELD, "Using Elara (TM)," Website of Transindigo, Inc., URL: www.transindigo.com/ Published: 09/2000	
		AUTHOR UNKNOWN, "AppShield (TM) Version 3.0," (White Paper), Website of Sanctum, Inc., URL: www.sanctuminc.com Published: 12/2000	

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APPLICANTS: Mark Moriconi et al.
SERIAL NO.: 09/767,610
FILED: January 22, 2001
TITLE: System and Method for Maintaining Security in a
Distributed Computer Network
EXAMINER: Unknown
GROUP ART UNIT: Unknown
ATTY.DKT.NO.: PA1677US

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

List of Co-Pending Patent Applications that may be Related

Examiner's Initials	Serial Number	First-Named Inventor	Title	Filing Date
	09/721,557	Mark Moriconi	System and Method for Maintaining Security in a Distributed Computer Network	11/22/00
Examiner's Signature:				Date